**APPENDIX D**

**“Associated Firms” and “Umbrella Firms”**

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| Name of Firm: | Cassels Brock & Blackwell LLP |

1. The Named Insured under the CLLAS policies is Cassels Brock & Blackwell LLP.
2. The Firm has offices in Toronto and Vancouver.
3. The Firm was a member of an established national affiliation of independent law firms comprised of Pouliot Mercure of Montreal and Alexander, Holburn, Beaudin & Lang of Vancouver. The Firm also had an international affiliation with Noriega y Escobedo in Mexico City. The affiliation was known as Cassels Pouliot Alexander Noriega.
4. From November 1990 to October 2000, Douglas Symes & Brissenden was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas Noriega (until joined by MacKimmie Matthews in June 1996). As of October 31, 2000, Douglas Symes & Brissenden ceased to practise law and the affiliation changed to Cassels Pouliot Noriega.
5. From June 1996 to February 1999, MacKimmie Matthews was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas MacKimmie Noriega. As of February 28, 1999, MacKimmie Matthews ceased to practise law and the affiliation changed to Cassels Pouliot Douglas Noriega.
6. In April 2001, Alexander, Holburn, Beaudin & Lang joined the affiliation and the affiliation changed its name to Cassels Pouliot Alexander Noriega.
7. In September 2004, Cassels Brock & Blackwell LLP ended the above affiliations and is no longer associated in any way with the above law firms.
8. In February 2004, Cassels Brock & Blackwell LLP became a member of the World Services Group, a global non-profit membership-by-invitation-only association of business service providers, in order to more effectively nationally and internationally market its legal services to persons requiring legal services in Ontario, create new business prospects and provide superior client service. The Firm withdrew from this association on December 6, 2011.
9. There is an endorsement on the policy to cover possible claims relating to the periods during which the following affiliations were in operation: a) Cassels Pouliot Douglas MacKimmie Noriega; b) Cassels Pouliot Douglas Noriega; c) Cassels Pouliot Noriega; and d) Cassels Pouliot Alexander Noriega. The endorsement also covers Cassels Brock & Blackwell LLP as a member of the World Services Group. However, as the affiliation with the World Services Group was not reported to CLLAS until April 11, 2005, claims known or should have been known prior to this date are excluded.